



## ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

August 07, 2024

Ms. Jessica Chang  
Capital Client Group, Inc.  
333 S. Hope St., 53rd Floor  
Los Angeles CA 90071

Reference: **FR2024-0705-0113/E**  
Link Reference: FR2022-1003-0188

Org Id: 6247

1. Single Sheet - Meeting your fiduciary responsibilities - DC plans - Act in participants' interests by holding providers to the highest standards  
Rule: FIN 2210  
2 Pages
- Total Fee: \$125

Dear Ms. Chang,

The communication submitted appears consistent with applicable standards.

Reviewed by,

Caitlin M. Kirby  
Senior Analyst

jws

***This year's Advertising Regulation Conference will be held on September 26-27 in Washington, D.C. For more information and to register, please view our site at: <https://www.finra.org/events-training/conferences-events/2024-advertising-regulation-conference>***

*Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.*



***NOTE:** We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*