## FINCA

## ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

September 04, 2024

Ms. Jessica Chang Capital Client Group, Inc. 333 S. Hope St., 53rd Floor Los Angeles CA 90071

Reference: **FX2024-0903-0251/E** Link Reference: FX2023-0426-0217 Org Id: 6247

 Brochure - What others say about our retirement plan capabilities - Choose a 401(k) provider you can trust Rules: FIN 2210, SEC 482 5 Pages Total Fee: \$600

Dear Ms. Chang,

The communication submitted appears consistent with applicable standards.

Reviewed by,

Eric K. Knight Associate Principal Analyst

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This year's Advertising Regulation Conference will be held on September 26-27 in Washington, D.C. For more information and to register, please view our site at: <u>https://www.finra.org/events-training/conferences-events/2024-advertising-regulation-conference</u>

Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

Investor protection. Market integrity.

## FINCA.

**NOTE:** We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.