



- Use this form if you are initiating the division of assets pursuant to a Qualified Domestic Relations Order (QDRO). This form should be submitted with the *Alternate Payee Distribution Request* (completed by the alternate payee/awarded spouse).
- The participant must sign and have the signature guaranteed in Section 4.
- The employer's information and signature are required in Section 5. Read the requirements in that section to avoid delays.

## 1 Participant information

Name of participant \_\_\_\_\_ Account number or plan ID \_\_\_\_\_

Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

Email address\* \_\_\_\_\_ ( ) \_\_\_\_\_  
Daytime phone \_\_\_\_\_

Plan type:  403(b)  457(b)  Qualified plan (profit-sharing or money purchase)  Texas ORP

\*Your privacy is important to us. For information on our privacy policies, visit [www.capitalgroup.com](http://www.capitalgroup.com).

## 2 Court-ordered divorce payments

A. What percentage, dollar amount (valuation) or shares should be re-registered in the plan on behalf of the alternate payee?

Re-register \_\_\_\_\_% **OR** \$ \_\_\_\_\_ **OR** \_\_\_\_\_ shares

B. What is the "division" date used in the QDRO to determine the valuation? \_\_\_\_\_  
(mm/dd/yyyy)

From the date of valuation, are earnings and losses to be included?  Yes  No

C. What is the name of the alternate payee/awarded spouse? \_\_\_\_\_

**Notes:** • If not requesting a TOTAL re-registration, you must provide instructions in Section 3 for what percentage or amount to remove from each fund.

• A request for court-ordered divorce payments must be accompanied by the following documents:

**To be provided by the participant:**

– A copy of the certified QDRO. The certification should have been made by a court clerk.

**To be provided by the alternate payee/awarded spouse:**

– Completed beneficiary designation form for the appropriate plan if any assets are to remain in the account (If requesting a distribution, submit a completed *Alternate Payee Distribution Request*.)

• To avoid potential delays, all divorce and alternate payee/awarded spouse documentation should be submitted together.

• The employer's signature is required in Section 5.



### 3 One-time re-registration instructions

Complete this section ONLY if the request is for less than 100% of the account.

Fund name or number	Percentage	Amount	Number of shares
_____	_____ % OR	\$ _____ OR	_____
_____	_____ % OR	\$ _____ OR	_____
_____	_____ % OR	\$ _____ OR	_____
_____	_____ % OR	\$ _____ OR	_____
_____	_____ % OR	\$ _____ OR	_____
_____	_____ % OR	\$ _____ OR	_____

### 4 Authorization and signature guarantee — required

I direct Capital Bank and Trust Company (CB&T) to make a re-registration from my account in the manner I have indicated. I certify that the above information and attached documentation (if applicable) are accurate.

In consideration of CB&T acting on such instructions and processing such transactions, I agree to hold harmless and indemnify CB&T; any of its affiliates or mutual funds managed by such affiliates; and each of their respective directors; trustees; officers; employees; and agents from any losses, expenses, costs or liability (including attorney fees) that may be incurred as a result of CB&T acting on such instructions.

\_\_\_\_\_  
Name of participant (print)

**X**  
\_\_\_\_\_  
Signature of participant

\_\_\_\_\_  
Date (mm/dd/yyyy)

**This document may not be signed using Adobe Acrobat Reader's "fill and sign" feature.**

**A signature guarantee is required** and must be performed by an eligible guarantor institution, such as a bank, savings association, credit union, member firm of a domestic stock exchange or the Financial Industry Regulatory Authority. **A notary public is NOT an acceptable guarantor.** The guarantee must be in the form of a stamp or a typewritten or handwritten guarantee that is accompanied by a raised corporate seal.

▼ Stamp signature or medallion guarantee here. ▼

**Proceed to Section 5 for employer signature.**

