Clear and reset form

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529 to Roth IRA Investment Instructions

Use this form to provide investment instructions for a rollover from a 529 account to an existing American Funds Roth IRA.

If you need to establish a new American Funds Roth IRA, do not use this form. Submit the Traditional/Roth IRA Application instead.

1 Roth IRA owner information

Provide the Roth IRA owner's information. This individual must be the beneficiary of the sending 529 account.

First name ______ MI ______ Last ______ Roth IRA account number ______

Address ______ City ______ State ______ ZIP ______

Email address* ______ Daytime phone ( ) ______

* Your privacy is important to us. For information on our privacy policies, visit **www.capitalgroup.com**.

2 529 account information

A. Provide the 529 account owner's information.

First name ______ MI ______ Last ______

Address ______ City ______ State ______ ZIP ______

Daytime phone ( ) ______

B. Assets will be sent from:

☐ External (non-CollegeAmerica) 529 account. If the 529 program manager requires American Funds paperwork, the 529 account owner should complete the Rollover from an External 529 Plan to an American Funds Roth IRA form. **To expedite processing, consider submitting it with this form.**

OR

☐ CollegeAmerica 529 account. The CollegeAmerica account owner must complete the CollegeAmerica to Roth IRA Rollover Request. **To expedite processing, consider submitting it with this form.**

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3 Roth IRA investment instructions

For a quick guide to fund names, numbers, minimums and share class restrictions, go to ***www.capitalgroup.com/fundguide****.*

A. Provide tax year information

☐ Invest the 529 rollover as a **current year** IRA contribution.

OR

☐ Invest the 529 rollover as a **prior year** IRA contribution (available only for rollovers made by the tax-filing deadline without extension, usually April 15).

Notes:
- If no selection is made, the rollover will be invested as a current year IRA contribution.
- The rollover amount counts toward your cumulative annual contribution limit across all traditional and Roth IRAs.

B. Share class

If the rollover is from an external (non-CollegeAmerica) 529 plan, select a share class below. If the rollover is from an American Funds CollegeAmerica account, proceed to **C**.

☐ Class A **OR** ☐ Class C **OR** ☐ Class F-2*

*Class F-2 shares are available only for accounts sold through participating investment advisor representatives or intermediaries.

C. Provide investment instructions

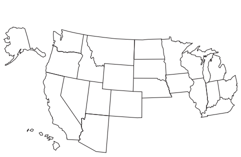
Fund name or number	Amount		Percentage
______________________	$ __________	OR	______%
______________________	$ __________	OR	______%
______________________	$ __________	OR	______%
______________________	$ __________	OR	______%
______________________	$ __________	OR	______%
______________________	$ __________	OR	______%
Total	**$** __________	**OR**	______**%**

4 Roth IRA owner signature

I certify that I: **1)** have read, understand and agree to all pages of this *529 to Roth IRA Investment Instructions* form; and **2)** I am familiar with 529 to Roth IRA rollover rules, and to the best of my knowledge, I am eligible to receive the rollover.

Name of Roth IRA account owner (print)	**X** Signature	/ / Date (mm/dd/yyyy)

If mailing, choose the service center for your state. Mail the form to the Indiana Service Center if you live outside the U.S.



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For more information, contact your financial professional, visit www.capitalgroup.com or call us at (800) 421-4225, ext. 529.